

AA Recd

Receipt

Preserved PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Callis, et al.

Group Art Unit: 2152

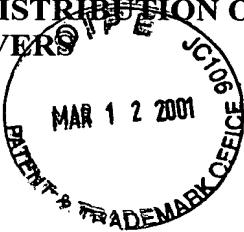
Serial No.: 09/693,027

Filed: October 20, 2000

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
POLICY BASED DISTRIBUTION OF WORKLOAD TO SUBSETS OF  
POTENTIAL SERVERS**

Commissioner for Patents  
Washington, DC 20231

March 9, 2001



**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

In reviewing the filing receipt (copy attached) for the above referenced application, Applicant notes that the title is listed as "Methods, Systems And Computer Program Products For Policy Based Distribution Of Workload To Subsets Of **Potential** Servers." However, as indicated on the application and its accompanying transmittal sheet, the title should be listed as "Methods, Systems And Computer Program Products For Policy Based Distribution Of Workload To Subsets Of **Potential** Servers."

Applicant respectfully requests that the title be corrected to read, "**Methods, Systems And Computer Program Products For Policy Based Distribution Of Workload To Subsets Of Potential Servers**" and that a corrected filing receipt be issued.

Respectfully submitted,

Timothy J. O'Sullivan  
Registration No. 35,632

USPTO Customer No. 20792  
Myers Bigel Sibley & Sajovec  
Post Office Box 37428  
Raleigh, North Carolina 27627  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on March 9, 2001.

Traci A. Brown  
Date of Signature: March 9, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/693,027         | 10/20/2000  | 2152         | 1020          | 5577-215       | 8        | 30         | 3          |

20792  
 MYERS BIGEL SIBLEY & SAJOVEC  
 PO BOX 37428  
 RALEIGH, NC 27627



## FILING RECEIPT



\*OC000000005826255\*

Date Mailed: 03/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gregory M. Callis, Morrisville, NC ;  
 Wesley McMillan Devine, Apex, NC ;  
 Jon Kevin Franks, Holly Springs, NC ;  
 Lap Thiet Huynh, Apex, NC ;  
 Alan G. Packett, Raleigh, NC ;

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## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/02/2001

## Title

Methods, systems and computer program products for policy based distribution of workload to subsets of potential servers

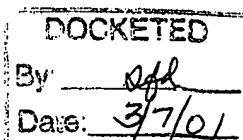
## Preliminary Class

709

Data entry by : WYATT, DEBRA

Team : OIPE

Date: 03/05/2001



375/01

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**



**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
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Bib Data Sheet

CONFIRMATION NO. 8047

|                             |                                   |              |                                |                                    |
|-----------------------------|-----------------------------------|--------------|--------------------------------|------------------------------------|
| SERIAL NUMBER<br>09/693,027 | FILING DATE<br>10/20/2000<br>RULE | CLASS<br>709 | GROUP ART UNIT<br>2153<br>2142 | ATTORNEY<br>DOCKET NO.<br>5577-215 |
|-----------------------------|-----------------------------------|--------------|--------------------------------|------------------------------------|

## APPLICANTS

Gregory M. Callis, Morrisville, NC;  
 Wesley McMillan Devine, Apex, NC;  
 Jon Kevin Franks, Holly Springs, NC;  
 Lap Thiet Huynh, Apex, NC;  
 Alan G. Packett, Raleigh, NC;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 01/02/2001

|                                 |  |              |                    |
|---------------------------------|--|--------------|--------------------|
| Foreign Priority claimed        | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no                                    |              |                    |
| 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after |              |                    |
| Verified and Acknowledged       | <i>Lawrence</i> <i>LG</i>  |              |                    |
| Examiner's Signature            | Initials   |              |                    |
| STATE OR COUNTRY                | SHEETS DRAWING   | TOTAL CLAIMS | INDEPENDENT CLAIMS |
| NC                              | 8  | 30           | 3                  |

## ADDRESS

20792

## TITLE

Methods, systems and computer program products for policy based distribution of workload to subsets of potential servers

|                             |   |   |
|-----------------------------|---|---|
| FILING FEE RECEIVED<br>1020 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
|-----------------------------|---|---|